Linking process to outcome Inequality of educational opportunities and inequality of educational outcomes

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Two types of educational inequality

The difference between high and low status children in

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The difference between high and low status children in

 probabilities of passing transitions between levels of education;

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The difference between high and low status children in

 probabilities of passing transitions between levels of education; Inequality of Educational Opportunity (IEOpp), or

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The difference between high and low status children in

- probabilities of passing transitions between levels of education; Inequality of Educational Opportunity (IEOpp), or
- highest achieved level of education;

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The difference between high and low status children in

- probabilities of passing transitions between levels of education; Inequality of Educational Opportunity (IEOpp), or
- highest achieved level of education; Inequality of Educational Outcome (IEOut).

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The difference between high and low status children in

- probabilities of passing transitions between levels of education; Inequality of Educational Opportunity (IEOpp), or
- highest achieved level of education; Inequality of Educational Outcome (IEOut).
- The aim of this presentation is to to relate IEOut to the IEOpps,

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The difference between high and low status children in

- probabilities of passing transitions between levels of education; Inequality of Educational Opportunity (IEOpp), or
- highest achieved level of education; Inequality of Educational Outcome (IEOut).
- The aim of this presentation is to to relate IEOut to the IEOpps, because:
 - 1. IEOpps (looking at the process) and IEOut (looking at the end result) are natural complements.

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The difference between high and low status children in

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- The aim of this presentation is to to relate IEOut to the IEOpps, because:
 - 1. IEOpps (looking at the process) and IEOut (looking at the end result) are natural complements.
 - 2. Allows for a natural way to study the effect of educational expansion

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The difference between high and low status children in

- probabilities of passing transitions between levels of education; Inequality of Educational Opportunity (IEOpp), or
- highest achieved level of education; Inequality of Educational Outcome (IEOut).
- The aim of this presentation is to to relate IEOut to the IEOpps, because:
 - 1. IEOpps (looking at the process) and IEOut (looking at the end result) are natural complements.
 - Allows for a natural way to study the effect of educational expansion, and the disadvantaged position of other social groups on IEOut.

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Outline

IEOpp and IEOut

Empricial applications The Netherlands

USA

Conclusion

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Outline

IEOpp and IEOut

Empricial applications The Netherlands USA

Conclusion

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Model of the process and the outcome

Builds on the work by Mare (1981).

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Model of the process and the outcome

- Builds on the work by Mare (1981).
- ► The process is modeled as a sequential logit/Mare model.

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Model of the process and the outcome

- Builds on the work by Mare (1981).
- ► The process is modeled as a sequential logit/Mare model.
- The outcome is derived from this model.

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Model of the process and the outcome

- Builds on the work by Mare (1981).
- ► The process is modeled as a sequential logit/Mare model.
- The outcome is derived from this model.
- This is a way of extracting more information from a sequential logit/Mare model.

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Example

Figure: Hypothetical educational system



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Modeling transition probabilities and the expected level of education

$$p_{ki} = \frac{\exp(\alpha_k + \lambda_k SES_i)}{1 + \exp(\alpha_k + \lambda_k SES_i)} \quad \text{if} \quad y_{k-1\,i} = 1$$

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Modeling transition probabilities and the expected level of education

$$p_{ki} = \frac{\exp(\alpha_k + \lambda_k SES_i)}{1 + \exp(\alpha_k + \lambda_k SES_i)} \quad \text{if} \quad y_{k-1\,i} = 1$$

 $E(ed) = (1 - p_{1i})l_0 + p_{1i}(1 - p_{2i})l_1 + p_{1i}p_{2i}(1 - p_{3i})l_2 + p_{1i}p_{2i}p_{3i}l_3$

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IEOut is the increase in expected highest achieved level of education for a unit increase in SES, i.e. a first derivative:

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IEOut is the increase in expected highest achieved level of education for a unit increase in SES, i.e. a first derivative:

$$\begin{split} & \frac{\partial E(ed)}{\partial SES} = \\ & \{1 \times p_{1i}(1-p_{1i}) \times [(1-p_2)l_1 + p_2(1-p_3)l_2 + p_2p_3l_3 - l_0]\}\lambda_1 + \\ & \{p_{1i} \times p_{2i}(1-p_{2i}) \times [(1-p_3)l_2 + p_3l_3 - l_1]\}\lambda_2 + \\ & \{p_{1i}p_{2i} \times p_{3i}(1-p_{3i}) \times [l_3 - l_2]\}\lambda_3 \end{split}$$

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$$\begin{array}{l} \frac{\partial E(ed)}{\partial SES} = \\ \{1 \times p_{1i}(1-p_{1i}) \times [(1-p_2)l_1 + p_2(1-p_3)l_2 + p_2p_3l_3 - l_0]\}\lambda_1 + \\ \{p_{1i} \times p_{2i}(1-p_{2i}) \times [(1-p_3)l_2 + p_3l_3 - l_1]\}\lambda_2 + \\ \{p_{1i}p_{2i} \times p_{3i}(1-p_{3i}) \times [l_3 - l_2]\}\lambda_3 \end{array}$$

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$$\begin{array}{l} \frac{\partial E(ed)}{\partial SES} = \\ \{1 \times p_{1i}(1-p_{1i}) \times [(1-p_2)l_1 + p_2(1-p_3)l_2 + p_2p_3l_3 - l_0]\}\lambda_1 + \\ \{p_{1i} \times p_{2i}(1-p_{2i}) \times [(1-p_3)l_2 + p_3l_3 - l_1]\}\lambda_2 + \\ \{p_{1i}p_{2i} \times p_{3i}(1-p_{3i}) \times [l_3 - l_2]\}\lambda_3 \end{array}$$

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proportion at risk

$$\begin{aligned} &\frac{\partial E(ed)}{\partial SES} = \\ &\{\mathbf{1} \times p_{1i}(1-p_{1i}) \times [(1-p_2)l_1 + p_2(1-p_3)l_2 + p_2p_3l_3 - l_0]\}\lambda_1 + \\ &\{p_{1i} \times p_{2i}(1-p_{2i}) \times [(1-p_3)l_2 + p_3l_3 - l_1]\}\lambda_2 + \\ &\{p_{1i}p_{2i} \times p_{3i}(1-p_{3i}) \times [l_3 - l_2]\}\lambda_3 \end{aligned}$$

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variance of the variable indicating whether one passes or not

$$\begin{array}{l} \frac{\partial E(ed)}{\partial SES} = \\ \{1 \times p_{1i}(1 - p_{1i}) \times [(1 - p_2)l_1 + p_2(1 - p_3)l_2 + p_2p_3l_3 - l_0]\}\lambda_1 + \\ \{p_{1i} \times p_{2i}(1 - p_{2i}) \times [(1 - p_3)l_2 + p_3l_3 - l_1]\}\lambda_2 + \\ \{p_{1i}p_{2i} \times p_{3i}(1 - p_{3i}) \times [l_3 - l_2]\}\lambda_3 \end{array}$$

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expected increase in the level of education after passing

$$\begin{split} &\frac{\partial E(ed)}{\partial SES} = \\ &\{1 \times p_{1i}(1-p_{1i}) \times [(1-p_2)l_1 + p_2(1-p_3)l_2 + p_2p_3l_3 - l_0]\}\lambda_1 + \\ &\{p_{1i} \times p_{2i}(1-p_{2i}) \times [(1-p_3)l_2 + p_3l_3 - l_1]\}\lambda_2 + \\ &\{p_{1i}p_{2i} \times p_{3i}(1-p_{3i}) \times [l_3 - l_2]\}\lambda_3 \end{split}$$

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expected level of education for those that pass

$$\begin{split} &\frac{\partial E(ed)}{\partial SES} = \\ &\{1 \times p_{1i}(1-p_{1i}) \times [(1-p_2)l_1 + p_2(1-p_3)l_2 + p_2p_3l_3 - l_0]\}\lambda_1 + \\ &\{p_{1i} \times p_{2i}(1-p_{2i}) \times [(1-p_3)l_2 + p_3l_3 - l_1]\}\lambda_2 + \\ &\{p_{1i}p_{2i} \times p_{3i}(1-p_{3i}) \times [l_3 - l_2]\}\lambda_3 \end{split}$$

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minus the expected level of education for those that fail

$$\begin{aligned} &\frac{\partial E(ed)}{\partial SES} = \\ &\{1 \times p_{1i}(1-p_{1i}) \times [(1-p_2)l_1 + p_2(1-p_3)l_2 + p_2p_3l_3 - l_0]\}\lambda_1 + \\ &\{p_{1i} \times p_{2i}(1-p_{2i}) \times [(1-p_3)l_2 + p_3l_3 - l_1]\}\lambda_2 + \\ &\{p_{1i}p_{2i} \times p_{3i}(1-p_{3i}) \times [l_3 - l_2]\}\lambda_3 \end{aligned}$$

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In words:

IEOut = weighted sum of IEOpps

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In words:

- IEOut = weighted sum of IEOpps
- weights = at risk × variance × gain

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The Netherlands USA

Outline

IEOpp and IEOut

Empricial applications The Netherlands USA

Conclusion

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Simplified model of Dutch educational system



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Data

- International Stratification and Mobility File (ISMF) on the Netherlands.
- 51 surveys held between 1958 and 2005 with information on cohorts 1894-1978.
- 67,000 respondents aged between 27 and 65 with complete information.

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Variables

Father's occupational status is measured in ISEI scores, but recoded to range between 0 and 1.

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Variables

Father's occupational status is measured in ISEI scores, but recoded to range between 0 and 1.

The Netherlands

Level of education is scaled such as to maximize the direct effect of education on income, and

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Variables

Father's occupational status is measured in ISEI scores, but recoded to range between 0 and 1.

The Netherlands

- Level of education is scaled such as to maximize the direct effect of education on income, and
- it is standardized.

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Variables

- Father's occupational status is measured in ISEI scores, but recoded to range between 0 and 1.
- Level of education is scaled such as to maximize the direct effect of education on income, and
- it is standardized.
- Time measured as a restricted cubic spline with one knot in 1936.

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Change in IEOut over cohorts



The Netherlands USA

Decomposition of IEOut

IEOut is a weighted sum of IEOpps:

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Decomposition of IEOut

IEOut is a weighted sum of IEOpps:
 IEOut = w₁ IEOpp₁ + w₂ IEOpp₂ + w₃ IEOpp₃ + w₄ IEOpp₄

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- IEOut is a weighted sum of IEOpps:
 IEOut = w₁ IEOpp₁ + w₂ IEOpp₂ + w₃ IEOpp₃ + w₄ IEOpp₄
- The contribution of the first transition is: w₁ IEOpp₁

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- IEOut is a weighted sum of IEOpps:
 IEOut = w₁ IEOpp₁ + w₂ IEOpp₂ + w₃ IEOpp₃ + w₄ IEOpp₄
- The contribution of the first transition is: w₁ IEOpp₁
- This can be visualized as the area of a rectangle

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- IEOut is a weighted sum of IEOpps:
 IEOut = w₁ IEOpp₁ + w₂ IEOpp₂ + w₃ IEOpp₃ + w₄ IEOpp₄
- The contribution of the first transition is: w₁ IEOpp₁
- This can be visualized as the area of a rectangle with width w₁

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- IEOut is a weighted sum of IEOpps:
 IEOut = w₁ IEOpp₁ + w₂ IEOpp₂ + w₃ IEOpp₃ + w₄ IEOpp₄
- The contribution of the first transition is: w₁ IEOpp₁
- This can be visualized as the area of a rectangle with width w₁ and height IEOpp₁.

- IEOut is a weighted sum of IEOpps:
 IEOut = w₁ IEOpp₁ + w₂ IEOpp₂ + w₃ IEOpp₃ + w₄ IEOpp₄
- The contribution of the first transition is: w₁ IEOpp₁
- This can be visualized as the area of a rectangle with width w₁ and height IEOpp₁.
- ► IEOut is the sum of the areas of these rectangles

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Decomposition of IEOut for men



men

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Decomposition of IEOut for women



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The Netherlands USA

Decomposition of weights

 The weights are: at risk × variance × gain

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Decomposition of weights

- The weights are: at risk × variance × gain
- These three elements are all a function of the proportions that pass the transitions

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The Netherlands USA

Decomposition of the weights for men



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Decomposition of the weights for women



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Data

- ► General Social Survey (GSS).
- 20 surveys held between 1977 and 2004 with information on cohorts 1913-1978.
- 13,400 men aged between 27 and 65 with complete information.

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Variables

 Father's highest achieved level of education measured in (pseudo) years.

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Variables

- Father's highest achieved level of education measured in (pseudo) years.
- Respondent's highest achieved Level of education in (pseudo) years

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Variables

- Father's highest achieved level of education measured in (pseudo) years.
- Respondent's highest achieved Level of education in (pseudo) years
- Time measured as a restricted cubic spline with one knot in 1946.

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Simplified model of the US educational system



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Change in IEOut over cohorts



The Netherland

Decomposition of IEOut for white men



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Decomposition of IEOut for black men



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Decomposition of the weights for white men



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Linking process to outcome

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Decomposition of the weights for black men



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The seqlogit package

- These graphs where made with the seqlogit package in Stata.
- It can deal with any tree.
- ► To install type within Stata ssc install seqlogit.

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Outline

IEOpp and IEOut

Empricial applications The Netherlands USA

Conclusion

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 IEOut depends in an understandable way on the IEOpps and transition probabilities.

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- IEOut depends in an understandable way on the IEOpps and transition probabilities.
- IEOut is a weighted sum of IEOpps, and the weights increase if:

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- IEOut depends in an understandable way on the IEOpps and transition probabilities.
- IEOut is a weighted sum of IEOpps, and the weights increase if:
 - the proportion at risk increases,

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- IEOut depends in an understandable way on the IEOpps and transition probabilities.
- IEOut is a weighted sum of IEOpps, and the weights increase if:
 - the proportion at risk increases,
 - the proportion that passes is closer to .50,

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- IEOut depends in an understandable way on the IEOpps and transition probabilities.
- IEOut is a weighted sum of IEOpps, and the weights increase if:
 - the proportion at risk increases,
 - the proportion that passes is closer to .50,
 - the expected increase in level of education increases

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Conclusion

This relationship can be used to:

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This relationship can be used to:

to relate IEOut to the IEOpps.

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- This relationship can be used to:
 - to relate IEOut to the IEOpps.
 - identify important and less important transitions,

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- This relationship can be used to:
 - to relate IEOut to the IEOpps.
 - identify important and less important transitions,
 - to explain differences in IEOut with well documented phenomena like educational expansion or racial differences in educational attainment.

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